ANIMAL EYE CONSULTANTS OF IOWA

Animal Eye Consultants of Iowa *Institutional Animal Care and Use Committee*	
Policy #22	**"Principal Investigator on IACUC Protocol"**
Approval Date: 1/19/2024 (Replacing Version 3/21/2021)	

A. Purpose

To state the requirements to become and responsibilities of a Principal Investigator (PI) on a AEC IACUC protocol/Animal Subject Approval Form (ASAF).

B. Policy

The PI is ultimately responsible for the conduct of animal related research or teaching performed by their laboratory. Only AEC faculty shall be allowed to serve as the PI on an ASAF. Undergraduate or graduate students, residents, postdoctoral fellows, and non-AEC personnel cannot be listed as PI on a AEC IACUC ASAF, but they may be listed as Co-Investigator or as other personnel if there is a AEC faculty member serving as the PI. Senior staff and managers will be considered for PI on a case-by-case basis.

Exceptions must be submitted in writing to IACUC@animal-eye-iowa.com for review/approval by the IACUC.

Any PI that maintains an active ASAF is responsible for the following:

- Ensuring that their ASAF(s) remains up to date and accurately describes all current animal research and teaching activities. An ASAF must be approved by the IACUC through the review process described in IACUC Policy #2: Protocol and Amendment Review before animal work may begin.
    - A new ASAF can be submitted on MyResearch. Instructions for this process may be found here.
    - Any changes in animal research or teaching activities must be reflected in the ASAF by submitting an amendment for IACUC review as described in IACUC Policy #24.

  - Animal activities performed without IACUC approval are subject to being cited for a non-compliance per IACUC Policy #27: Procedures for Addressing Non-Compliance.
  - All animal related work described in grants and extramural fundings is appropriately described in an IACUC approved ASAF. PHS & NIH require institutions to verify that the award components related to animal care and use have been IACUC approved.
- Notifying the Animal Welfare Program (AWP) Office at IACUC@animal-eye-iowa.com and AEC Veterinary Staff (AEC) at animaleyeiowa@gmail.com of any adverse or abnormal outcomes that affect animals covered under their protocols. Please view IACUC Policy #37: Reporting Adverse Events for additional guidance.
- Ensuring that all personnel and staff working with animals under their direction receive adequate training, are competent, and are familiar with applicable regulations and policies prior to performing work. Please view Policy #20: Personnel Approval for additional details.
- Remaining aware of and adhering to approved IACUC Policies, Guidelines, and SOPs for all animal work involving research or teaching activities. Please visit the IACUC Website to view these documents.
- Adhering to all applicable federal, state, and/or university regulations. These may include but not be limited to:
  - The Guide for the Care and Use of Laboratory Animals (2011)
  - Animal Welfare Act (AWA) Regulations (2023)
  - The AVMA Guidelines for the Euthanasia of Animals (2020)
  - The Guide for the Care and Use of Agricultural Animals in Research and Teaching (2020)

Please visit the AEC IACUC Website or email the AWP Office to answer any questions.

The AWP Office also offers an orientation for new PIs or any PI interested in learning more about maintaining an ASAF with AEC. Please contact IACUC@animal-eye-iowa.com if interested.